

ALLIA.229A



Receipt #7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Blike )  
Serial No. : 10/054,069 )  
Filed : January 22, 2002 )  
For : Physiological Object Displays )  
Examiner : Unknown )  
Group Art Unit : 2621 )

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

05/22/02  
(Date)  
*Christine Hughey*  
Christine Hughey

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attn: Box Missing Parts**

Response to Notice to File Missing Parts of Application

Dear Sir or Madam:

Transmitted herewith is a Response to the Notice to File Missing Parts of Application, which was mailed by the office on March 19, 2002. An extension of time to respond for 1 month is hereby requested. The following are enclosed with this response:

- (X) Please charge Deposit Account No. 01-1008 in the amount of \$65.00 for the Late Filing Fee and \$55.00 for the 1-month Extension Fee (Small Entity). A duplicate copy of this sheet is enclosed for that purpose.
- (X) A copy of Notice to File Missing Parts in two pages.
- (X) An executed Oath, Declaration and Power of Attorney by Inventor in two pages.
- (X) An executed Assignment with Recordation Cover Sheet in two pages.
- (X) A copy of a previously submitted amendment submitting formal drawings with transmittal letter in twenty-seven pages. The formal drawings were previously submitted but a second copy is being submitted for convenience.
- (X) A Request for Correction to Filing Receipt in one page.
- (X) A copy of Official Filing Receipt in two pages.
- (X) A copy of the Preliminary Amendment filed on April 30, 2002 in one page.

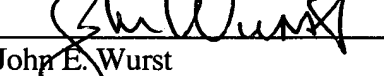
**Serial No.** : 10/054,069  
**Filed** : January 22, 2002

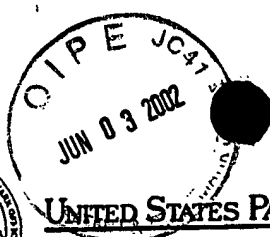
- (X) The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-1008.
- (X) A return prepaid postcard.
- (X) Please use Customer No. 20,995 for the correspondence address.

If there are any questions concerning the Response to Notice to File Missing Parts, please call the undersigned at the number stated below.

Respectfully submitted,

Dated: 5/21/02

By:   
John E. Wurst  
Registration No. 40,283  
(858) 410-5174



10054069 . 058102 Page 1 of 2

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,069	01/22/2002	George Blike	ALLIA.229A

CONFIRMATION NO. 3894

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000007668339\*

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

06/05/2002 ZJU HAR1 00000021 011008 10054069

01 FC:205 65.00 CH  
02 FC:215 55.00 CH

*A copy of this notice MUST be returned with the reply.*

Haimanot Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

ALLIA.229A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Blike )  
Serial No. : 10/054,069 )  
Filed : January 22, 2002 )  
For : Physiological Object Displays )  
Examiner : Unknown )  
Group Art Unit : 2621 )

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

05/22/02  
(Date)  
Christine Hughey  
Christine Hughey

COMMISSIONER FOR PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

ATTN: OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER

Sir or Madam:

Applicant hereby respectfully requests that the official Filing Receipt for the patent application listed above be corrected as follows:

Please list the Domestic Priority data as claimed by applicant as:

THIS APPLICATION CLAIMS BENEFIT OF 09/706,512 11/03/2000  
AND 60/263,861 01/23/2001

A copy of the Filing Receipt with the corrections indicated in red ink and a copy of the first page of the preliminary amendment filed on April 30, 2002 with the correct priority claim are enclosed.

Please forward the corrected filing receipt to:

Knobbe, Martens, Olson & Bear LLP  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660

Please call the undersigned at the number stated below if there are any questions concerning this filing.

Respectfully submitted,

Date: 5/21/02

John Worst  
Registration No. 40,283  
(858) 410-5174

ALLIA.229A



COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Blike )  
Serial No. : 10/054,069 )  
Filed : January 22, 2002 )  
For : Physiological Object Displays )  
Examiner : Unknown )  
Group Art Unit : 2621 )

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

April 30, 2002  
Christine Hughey  
Christine Hughey

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Dear Sir:

Prior to examination of the above-identified application, please amend the application as follows:

**IN THE PRIORITY CLAIM:**

Please replace paragraph [0001] with the following rewritten paragraph containing the corrected priority claim:

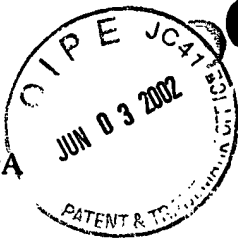
[0001] This application claims priority under 35 U.S.C. § 120 to U.S. Serial No. 09/706,512 filed on November 3, 2000 and Provisional Patent Application Serial No. 60/263,861 filed on January 23, 2001.

**IN THE SPECIFICATION:**

Please replace the paragraph [0079] with the following rewritten paragraph:

[0079] In the extended heart object, the RA, RV, LA and LV of the heart can expand or contract to show the filling state of the individual chambers. For example, in Figure 2, the RV 8

ALLIA.229A



**COPY**

PATENT

*L. Park Co*  
*9-12-02*

*#10/Draftman*  
*Letter*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Blike )  
Serial No. : 10/054,069 )  
Filed : January 22, 2002 )  
For : Physiological Object Displays )  
Examiner : Unknown )  
Group Art Unit : 2621 )

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

*April 30, 2002*  
*Christine Hughey*  
Christine Hughey

**COMMISSIONER FOR PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231**

Sir:

In accordance with 37 CFR § 1.121(d), transmitted herewith are 26 sheets of formal drawings for the patent application listed above. Changes are noted in red on the informal drawings as separate sheets. The formal drawings are being submitted with the Preliminary Amendment.

Please call the undersigned at the number listed below if there are any questions concerning this submission.

Dated: 4/30/02

Respectfully submitted,

*John Wurst*  
John Wurst  
Registration No. 40,283  
(858) 410-5174



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/054,069	01/22/2002	2621	454	ALLIA.229A	32	20	5

CONFIRMATION NO. 3894

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FILING RECEIPT



\*OC000000007668338\*

Date Mailed: 03/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

George Blike, Residence Not Provided;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/263,861 01/23/2001 \* and 09/706,512  
(\*) Data inconsistent with PTO records. 11/03/2000

## Foreign Applications

If Required, Foreign Filing License Granted 03/19/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Physiological object displays

## Preliminary Class

382



---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).